

8-23-04

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2004

Effective 01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete If Known

Application Number	09/603,020
Filing Date	June 26, 2000
First Named Inventor	Kevin D. Howard
Examiner Name	Bunjob Jaroenchorwanit
Art Unit	2143
Attorney Docket No.	387785

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																			
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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Peter C. Knops	Registration No. (Attorney/Agent)	37,659	Telephone	(816) 460-5826
Signature		Date	August 20, 2004		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

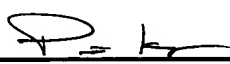
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/603,020
	Filing Date	June 26, 2000
	First Named Inventor	Kevin D. Howard
	Art Unit	2143
	Examiner Name	Bunjob Jaroenchonwanit
Total Number of Pages in This Submission	Attorney Docket Number	387785

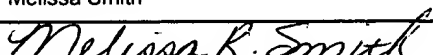
ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 1.97(e), PTO Form 1449, Copy of 6 References, Certificate of Mailing & Return Post Card
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Peter C. Knops LATHROP & GAGE LC
Signature	
Date	August 20, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Post Office to Addressee (Label No. EV413218444US) in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Melissa Smith		
Signature		Date	August 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT
Attorney Docket No.: 387785
Express Mail Label No.: EV413218444US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Kevin D. Howard	Examiner	Bunjob Jaroenchonwanit
Serial No.	09/603,020	Group Art No.	2143
Filed	June 26, 2000	Confirmation No.	2865
For	Collective Network Processing System And Methods		

August 20, 2004

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application, or date of entry of national application, or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, which ever occurs first)
- ☒ under 37 CFR 1.97(d) together with a:
- ☒ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☒ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of issue fee)

Please charge to Deposit Account 12-0600 the sum of \$000. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 12-0600 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ This Application was filed after June 30, 2003. Therefore, Applicant(s) submit(s) herewith Form PTO 1449 – Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of which Applicant(s) is/are aware, which applicant(s) believe(s) may be material to the


examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counter part foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith

It is requested that the information disclosed herein be made of record in this application

Respectfully submitted,

LATHROP & GAGE LLC



Peter C. Knops, Reg. No. 37,659
2345 Grand Boulevard, Suite 2400
Kansas City, MO 64108
Tel No: (816) 460-5826
Fax No: (816) 292-2001



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Kevin D. Howard
Serial No. 09/603,020
Filed June 26, 2000
For Collective Network Processing
System And Methods

Examiner Bunjob Jaroenchonwanit
Group Art No. 2143
Confirmation No. 2865

August 20, 2004

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 CFR 1.97(e)

Dear Sir:

The undersigned hereby certifies that either:


☒ Each item of information contained in the Information Disclosure Statement was cited for the first time in a communications from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement or;

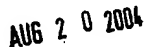
☐ No item of information contained in the Information Disclosure Statement

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- to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

LATHROP & GAGE LLC


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Complete if Known

<i>Application Number</i>	09/603,020
<i>Filing Date</i>	June 26, 2000
<i>First Named Inventor</i>	Kevin D. Howard
<i>Art Unit</i>	2143
<i>Examiner Name</i>	Bunjob Jaroenchonwanit
<i>Attorney Docket Number</i>	387785

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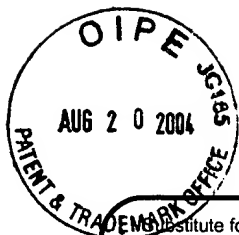
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STATEMENT BY APPLICANT**

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Sheet 2 of 2

Complete if Known

Application Number	09/603,020
Filing Date	June 26, 2000
First Named Inventor	Kevin D. Howard
Art Unit	387785
Examiner Name	Bunjob Jaroenchonwanit
Attorney Docket Number	387785

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		CODDINGTON, P.D., et al.: "Web-Based Access To Distributed High-Performance Geographic Information Systems For Decision Support" Proceedings Of The Hawaii International Conference On System Sciences, XX,XX, January 5, 1999, pages 1-12 X002145453	
		"Method Sendning Object Agent And Receiving Object Agent" IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Vol. 39, No. 12, December 1, 1996, page 43 X000686014	
		CAMERON I, et al.: "BT Webworld TM-WEB Hosting For Every Business Need" British Telecommunications Engineering, British Telecommunications Engineering, London, Great Brittain, Vol. 16, No. 4, 1998, pages 273-278 XP000736500	

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